Paribesh Ranabhat

Secure Design and Development of IoT Enabled Charging Infrastructure for Electric Vehicle; Using CCS Standard for DC Fast Charging

Metropolia University of Applied Sciences

Bachelor of Engineering

Information Technology

Thesis

24 April 2018



Author Title Number of Pages Date	Paribesh Ranabhat Secure Design and Development of IoT enabled Charging Infra- structure for Electric Vehicle; Using CCS Standards for DC Fast Charging 25 pages + 2 appendices 24 April 2018	
Degree	Bachelor of Engineering	
Degree Programme	Information Technology	
Professional Major	Networking	
Instructors	Jukka Anttonen, CTO, Unified Chargers Ltd Jukka Louhelainen, Senior Lecturer, IoT & Cloud Computing	

The emission of greenhouse gases has contributed to the alarming conditions such as global warming, climate change, and as well as, reduction of the fossil fuels that has an adverse effect on the planet. People, society and government must step up to combat these issues before it takes full-fledged state.

With the arrival of electric vehicles, it is deemed benevolent in cutting carbon emissions and abandoning traditional fossil-fuel cars. The aim to achieve high number of people adopting electric vehicles (EV) vehicles amply depends on the corresponding EV charging stations.

Currently, there are large number of AC charging station solution for EVs. However, the challenges that come with AC charging station such as time consumption during charging and requirement of on-board charger are pushing people back from adopting electric vehicles. The DC charging station overcome the problem that lies in AC charging station. IoT driven infrastructure transforms business and industry by offering efficiency and safety to a new height. Current charging infrastructure must implement this technology to ease the process for charging electric vehicle, and finally make it possible to, control the charging process according to the need from user's device such as smart phone. Unfortunately, with the rapid development in the field of IoT driven EV charging station, manufacturers are more concerned to secure their place in the market and focus on launching their product as soon as possible leaving doors open for cybersecurity threats and exploitation.

The thesis project aims to develop secure design for smart IoT driven fast DC charging infrastructure for electric vehicles. The research evaluates the potential security risks associated with IoT components including EV and EV charging infrastructure and presents the detail secure design considerations that need to be applied in the IoT EV charging station implementation. This thesis presents the baseline security recommendations for IoT enabled charging infrastructure after carrying out asset and threat taxonomy of the given IoT infrastructure. Since the entire practical thesis has included enterprise level secret matter as well as business sensitive information, the public version of the thesis does not report the relevant materials and information implemented in the project.



Keywords Electric vehicle, DC charging station, IoT	
---	--

Contents

Appendices

List of Abbreviations

1	Intro	Introduction			
2	Current EV Charging Infrastructure Analysis				
3	Mate	erials ar	nd Methods	5	
4	The	oretical	Background	6	
	4.1	Differe	ence between AC and DC charging	6	
	4.2	4.2 Modes of Conductive Charging			
		4.2.1	Mode 1 (AC)	7	
		4.2.2	Mode 2 (AC)	7	
		4.2.3	Mode 3 (AC)	8	
		4.2.4	Mode 4 (DC)	8	
	4.3	EV Ch	nargers Connectors	8	
		4.3.1	Combined Charging System (CCS)	8	
		4.3.2	CHAdeMO	10	
	4.4	Electr	ic Vehicle Supply Equipment (EVSE)	10	
	4.5	Charg	ging Communication between EV and EVSE	10	
	4.6	Intern	et of Things (IoT)	12	
5	Risk Assessment		13		
	5.1	High L	_evel Reference Architecture Model	13	
	5.2	Asset	Taxonomy	15	
	5.3	Threa	t Taxonomy	16	
6	Prop	osed S	Solution	19	
7	Conclusion				
Re	feren	ces		26	



Appendix 1. Security Measures (Policies) and Threats Mapping

Appendix 2. Security Measures (Technical Measures) and Threats Mapping



List of Abbreviations

A Ampere

AC Alternating Current

AMQP Advanced Message Queuing Protocol

CAN Controller Area Network

CARP Channel-Aware Routing Protocol

CCS Combined Charging System

CHAdeMO Charge de Move

CoAP Constrained Application Protocol

CP Control Pilot

DC Direct Current

DDOS Distributed Denial of Service

DDS Data Distribution Service

DIN German Institute for Standardization

ENISA The European Union Agency for Network and Information Security

EU European Union

EV Electric Vehicle

EVSE Electric Vehicle Supply Equipment

EXI Efficient XML Interchange



GB Giga byte

GDPR General Data Protection Regulation

GHz Gigahertz

GPS Global Positioning System

HDMI High-Definition Multimedia Interface

Home Plug GreenPHY Home Plug Green Physical Layer

HTTP Hypertext Transfer Protocol

IDE Integrated Development Board

IEC International Electrotechnical Commission

IERC European Research Cluster on the Internet of Things

IoT Internet of Things

ICSP In-circuit Serial Programming

ICT Information and Communication Technology

ISO International Organization for Standardization

ISP Internet Service Provider

I2C Inter – Integrated Circuit

KB Kilobyte

kW Kilowatt

MHz Megahertz



MQTT Message Queue Telemetry Transport

OCPP Open Charge Point Protocol

OEM Original Equipment Manufacturer

OSI Open Systems Interconnection

OWASP Open Web Application Security Project

PEV Plug-in Electric Vehicle

PKI Public Key Infrastructure

PLC Power Line Communication

PP Proximity Pilot

PWM Pulse-width Modulation

RAM Random Access Memory

RPL Routing Protocol for Low-Power and Lossy Networks

SAE Society of Automotive Engineers

SDP SECC Discovery Protocol

SDK Software Development Kit

SRAM Static Random-Access Memory

TLS Transport Layer Security

USB Universal Serial Bus

V2G Vehicle-to-Grid



Wi-Fi Wireless Fidelity

XML Extensible Markup Language

XMPP Extensible Messaging and Presence Protocol

6LoWPAN IPv6 over Low Power Wireless Personal Area Network

6TiSCH IPv6 Time Slotted Channel Hopping



Table of Figures

Figure 1. Total number of Plug-in EV charging infrastructure in Finland. Adapted [reprinted] from European Alternative Fuels Observatory. Electric vehicle charging infrastructure in Finland.

Figure 2. AC and DC charging in Electric Vehicle. Adapted from ABB.

Figure 3. Type 1 and Type 2 CCS Charger Connector. Adapted [reprinted] from CCS Specification

Figure 4. Protocol stack for DC charging control. Adapted [reprinted] from DIN SPEC 70121:2014.

Figure 5. High-Level Reference Model for EV Charging System. Adapted from "Baseline Security Recommendations for IoT" by ENISA

Figure 6. Asset Taxonomy. Adapted from "Baseline Security Recommendations for IoT" by ENISA.

Figure 7. Threat Taxonomy. Adapted from "Baseline Security Recommendations for IoT" by ENISA.

Figure 8. Flowchart showing method for IoT driven EV charging Station.

Figure 9. System and Method for an IoT Charging Station Implementation

List of Tabulations

Table 1: Standard for DC Charging Station and EV Connecters and the Communication standard between EV and charging standard.

Table 2: - Network Protocols considered for IoT driven DC Charging Station



1 Introduction

The emission of greenhouse gases from growing number of fossil-fuel vehicles around the world has an adverse effect on the climate of the earth. This heavy reliance on diesel cars assist on reducing the amount of fossil fuels available in the earth. The emission scandal in 2015, in which Volkswagen's cars included a programming software which provided false emission value from their vehicles, exposed the drawbacks of Europe's dependence on fossil-fuel cars.

The advent of electric vehicles (EV), an option to shift away from fossil-fuel cars, and with mass adoption on the horizon, the demand for the EV charging stations will be inevitable. However, the challenges lying with current charging stations such as AC slow charging approach; which can take 8 to 12 hours to fully charge an EV, insecure design; that is void of proper authentication and authorization between EV and charging station, lack of secure payment system, issues of load balancing, have made EV undesirable over traditional gasoline vehicles.

With the emergence of Internet of Things (IoT), a concept that combine a wide ecosystem of interconnected services and devices, EV and EV Charging system must embrace these technology for overcoming the barriers that lies in current charging system. Furthermore, DC charging method need to be implemented to overcome the barrier of slow charging. A smart DC charging infrastructure is composed of electric vehicle, electric vehicle supply equipment (EVSE), connectors connecting vehicle to EVSE and secure network connecting EVSE to the IoT cloud service to transmit data using secured wireless technology. The IoT cloud service offer applications that receive, analyze and manage data in real-time to assist EV users in making real time decision that would enhance the quality of EV charging. EV user will communicate with the charging station using application on their smartphones. However, with the evolving IoT, insecure and vulnerable; IoT components, communication protocols, cloud platform are critical threats that need to be solved before deploying smart charging infrastructure. The security of the entire smart charging infrastructure comes down not only to defining secure interconnection points, but also implementing secure products to begin with. These products include

the electric vehicle, charging controller in EV and EVSE, sensors or even the network protocols connecting IoT enabled devices in EV charging station to the cloud. With a view to understand how to design and develop IoT enabled DC charging infrastructure, it is important to understand what challenges are to be faced when deciding security approach.

The thesis project was carried out for Unified Chargers Ltd, whose main objective was developing secure, compact and smart fast DC charging infrastructure for electric vehicles. The research evaluates the potential security risks associated with IoT enabled charging infrastructure and presents the detail secure design of EV charging ecosystem. The thesis strives to answer the following questions:

- 1) What action should the case company adopt to develop secure design for IoT enabled smart DC charging infrastructure?
- 2) What actions should the case company adopt to overcome the issue of AC slow charging station?

The thesis mainly concentrates on designing, that encompass security and privacy, and building DC fast charging infrastructure prototype. The charging infrastructure presented in this thesis is described and compared against existing charging infrastructure. In this work, the EVs are charged at public location with CCS standard chargers and focus on implementation of the controlled charging environment between EV and charging station with secure and privacy intact. The communication between charging station and electricity provider as well as functions of power grid as the part of infrastructure are out of the scope of this thesis.

This thesis contains 6 sections. Following the introduction, section 2 analysis the current scenario of EV Charging system. Section 3 focuses on the methods that were used to design secure EV charging system. Section 4 identifies and explores relevant theory, and risk assessment based on the study carried out by ENISA [1] is presented in section 5. The method of designed system, network protocols and security measures are presented in section 6. Finally, section 7 summarizes the work carried out as part of the thesis and presents the conclusions and limitation of the smart IoT driven charging infrastructure.

2 Current EV Charging Infrastructure Analysis

Electric vehicles, in general, are the means of transportation that runs on electric power rather than the traditional vehicles that depend on fossil fuels. There are two types of electric vehicle currently available in Finland that are Plug-in Hybrid Electric Vehicle (PHEV) and Plug-in Electric Vehicle (PEV). Currently, Finland has two levels of existing charging infrastructures, AC charging station and DC charging station, to provide electric charging service to electric vehicles. The AC charging station, also known as slow charging station are suitable for home or office charging, however, DC charging station which offer fast charging are appropriate for public charging. The DC charging station significantly reduce time to charge the EV compared to AC charging. For instance, depending upon: the maximum power supply the charging station can offer, electric vehicle's battery size and maximum power battery can accept, DC charging process can fully charge an electric vehicle in 10 to 30 minutes.

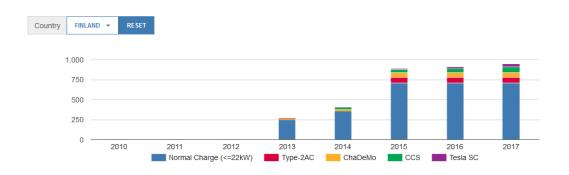


Figure 1: Total number of Plug-in EV charging infrastructure in Finland. [1]

As can be seen in Figure 1, the number of AC charging stations, presented in blue and red colors, are comparatively higher in number than the DC charging stations combining CHAdeMO, CCS and Tesla SC standards. Although the number of EVs are increasing in Finland, unavailability of sizable number of fast charging stations prevents people from embracing EVs. Furthermore, the time taken to charge the electric vehicle, make AC charging station insignificant at public location.

With the rapid development in the field of IoT driven EV charging station, manufacturers are concerned to secure their place in the market and focused on launching their product quickly leaving doors open for cybersecurity threats and exploitation. Moreover, in case of some companies, insufficient budget and time for developing IoT products resulted in vulnerable and insecure products. The article published in Kaspersky Lab by Mathias Dalheimer [2], raised the issues concerning vulnerabilities of EV charging infrastructure. The author claimed that the paying and charging implementation in current charging station lacked sanctity of personal data and money of the respected user. Dalheimer, further, examined and studied different components of the system and revealed that each of them had issues with security. The vulnerabilities ranging from ID tokens provided by third-party providers; which was void of encryption, to the implementation of old version of OCPP protocol; which is based on HTTP uses no encryption for transaction, opened the door for man-in-the-middle attacks by relaying the transaction and contributing exploitation of the system.

Furthermore, the charging station Dalheimer examined had USB ports that paved the way for attacker to: copy all the logs and configuration data, access login information of the OCPP server and access token numbers of the charging station users by inserting an empty flash drive. In addition to accessing unauthorized data, the attacker could exploit the charging station by modifying the data and updating the system according to their need. Unauthorized access to ID card numbers, imitation of the EV user, illegal use of transaction for which the real account holder is accounted for, unauthorized root access to charging station, open charging ports and interfaces, and unauthorized charging requests are the issues related to the current charging station existing across the globe.

[2.] It is for this reason that security considerations must be part of the design process.

In 2014, two tech professionals developed a tool that hijacked a Jeep over the internet. With the help of the tool they could gain full control of a Jeep Cherokee even with the driver instead. In another report published by Rapid7, described set of vulnerabilities exploited on IoT enabled Baby Monitor based on: physical access to the device, direct access to the local area network (LAN), and via the internet [3].

IoT being natural evolution of computing and enabler of smart infrastructure, EV charging infrastructure must be driven by these Internet connected technologies to sustain in the current as well as in the future market. However, fragmentation of standards and security concerns in heterogenous IoT market, brings huge challenges on maintaining safety,

security and privacy of the customers [1]. According to OWASP IoT Testing guidance [4], insecure web interface, lack of transport encryption, insufficient security configurability, poor physical security, insufficient authentication & authorization, insecure cloud interface, insecure software and firmware, privacy concerns, insecure mobile interface, insecure network interface are the attack threats that an IoT enabled infrastructure are exposed to [4].

It is essential to cope these challenges right from the first phase of product development. Security and privacy must be embedded into every standard protocol and processes that touches the EV charging infrastructure. The project aims at designing and developing smart IoT driven fast charging infrastructure by identifying potential security threats and risks, and finally, developing baseline security measures to mitigate the identified threats and risks associated with the relevant infrastructure.

3 Materials and Methods

The design part of the thesis was carried out based on the study published by ENISA [1]. The purpose behind choosing ENISA recommendations was because it covered existing European Union (EU) policies, regulatory initiatives such as the Directive on security of network and information systems (NIS Directive), The EU General Data Protection Regulation (GDPR), as well as the work of the Alliance for the Internet of Things and the Staff Working Document on ICT Standardization.

ENISA [1, p. 12] presented the baseline security measures after identifying, reviewing, thoroughly analyzing and comparing existing IoT security practices, security guidelines, relevant industry standards and research initiatives in the field of IoT security for Critical Information Infrastructures.

The IoT high-level reference model presented by ENISA [1, p.25] is used to develop ref-Terence model for the whole charging ecosystem that defines assets in EV charging system and assist in identifying threats and attacks associated with the system. Furthermore, the asset taxonomy and threat taxonomy related to the project was prepared according to the study carried out by ENISA. Having analyzed assets and threat taxonomy, baseline security guidelines are incorporated to prevent risks associated with the whole EV charging system.

4 Theoretical Background

4.1 Difference between AC and DC charging

The time consumed by electric vehicle to charge an EV depends upon the power supplied and the battery capacity of an electric vehicle.

Power Supply
$$(P) = Voltage(V) \times Current(I)$$

However, due to fixed limited voltage on AC charging, for instance 400 volts in Europe, the only way to achieve higher power supply for charging depends upon the amount of current. The amount of current flow is limited as higher current requires greater cross section of the copper wire that contributes in the weight and size of the charging cable. The weight of the cable must be lighter enough so that the EV user doesn't find it arduous to connect EV to the charging station.

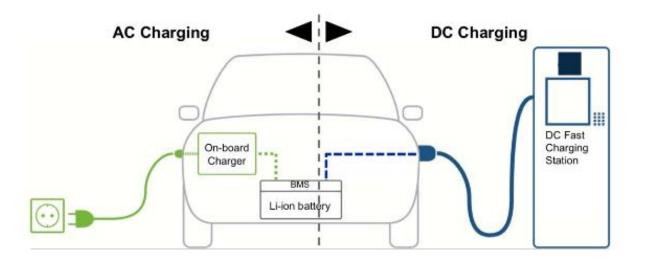


Figure 2: AC and DC charging in Electric Vehicle [2]

As can be seen in Figure 2, every vehicle requires on board charger inside a vehicle to accomplish AC charging whereas in case of DC charging the vehicle doesn't require on board charger. The on-board charger results in adding complexity and weight to the EV. The DC charging station encompass the on-board charger which overcome the issue related to AC charging requirement to include on-board charger in the EV. Although DC

charging approach prevents the disadvantages of AC charging, it requires real time communication between EV and EVSE interface to ensure correct charging state. In case of AC charging, the EV performs the charging control itself whereas in the case of DC charging, the charger located in the EVSE performs the charging control.

AC charging, also known as slow charger, is suitable at home and could take 8 to 12 hours to fully charge a EV. On the other hand, DC charger is suitable at public places such as kiosks, parking places and could charge the electric vehicle in 15 to 30 min depending upon the power supplied by the charging station and the battery capacity.

4.2 Modes of Conductive Charging

The international standard IEC 61851[5] defines 4 types of conductive charging with the following characteristics:

4.2.1 Mode 1 (AC)

Mode 1 AC uses a standard plug of maximum current 16 ampere (A) per phase. [6] The Electric vehicle is connected to the AC network using standard power connections. The maximum power demand, according to industrial specification, for three phases is specified 11kW. This type of conductive charging requires earth leakage and circuit breaker protection during installation.

4.2.2 Mode 2 (AC)

Mode 2 AC use a standard plug of maximum current 32 A per phase. The maximum power demand, according to industrial specification, for three phases is specified 22 kilo watt (kW). The EV is connected using special cable with intermediate electronic device with pilot control function and protections. This type of conductive charging requires earth leakage and circuit breaker protection during installation. [6.]

4.2.3 Mode 3 (AC)

Mode 3 AC use a special plug where maximum current is in accordance with the connector used to connect EV with power outlet. This type of conductive charging includes protection in the infrastructure. [6.]

4.2.4 Mode 4 (DC)

Mode 4, known as DC Charge, where maximum current to be supplied to the EV depends upon the connector type and charging station. The protection is installed in the charging infrastructure. The power equipment, security and control functionality is implemented in the charging station [6]. The thesis project uses Mode 4 charging for conductive charging.

4.3 EV Chargers Connectors

The OEM fast charging standards in the current market include CCS, which is based on IEC standard, and CHAdeMO that is based on Japanese CHAdeMO protocol.

4.3.1 Combined Charging System (CCS)

CCS, an integrated electric architecture that implements all relevant AC and DC charging scenario, includes the connector and the inlet combination as well as all the control functions [7].

CCS uses Power Line Communication (PLC) protocol for communication. The vehicle inlet constitutes two additional pins that allow DC charging in the same vehicle inlet while accepting the legacy AC connector.

There are two different type of CCS core, known as Type 1 and Type 2, implemented in the USA and Europe respectively. Type 1 core is implemented in the USA whereas in the type 2 core is implemented in the latter one. Type 1 socket is based on SAEJ1772 standard while Type 2 is based on IEC standard. All members of European Association of Automotive Manufacturers (ACEA), such as BMW, DAF, Daimler, Ford of Europe, Hyundai Motor Europe, Jaguar, support CCS for Europe.

The main key features of the Combined Charging System include the following: -

1) AC charging

The electrical interface specification for power transmission is based on IEC 61851-1 standard. The type 2 connector, also known as combo 2 connector, is based on the international IEC 61851-2 standard [6].

2) DC Charging

The electrical interface specification for power transmission during DC charging complies with the international IEC 61851-23 standard [8]. The type 2 connector is compliant with the international IEC 62196-3 standard [9].

3) Communication interface

The international standard ISO/IEC 15118 [10] and the German DIN SPEC 70121:2014-12 [11] describes the DC specific communication between electric vehicle and charging spot.

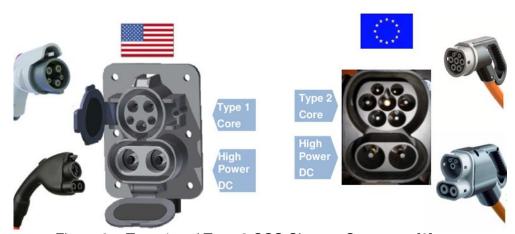


Figure 3: - Type 1 and Type 2 CCS Charger Connector [3]

As can be seen in figure 3, the left picture represents the inlet and outlet for Type 1 connectors which is also known as Combo 1 connectors. The right one represents the inlet and outlet for Type 2 connectors which is also known as Combo 1 connectors. The bottom two pin located in vehicle inlet is used to achieve DC charging.

4.3.2 CHAdeMO

CHAdeMO is a DC charging standard that was formed by the Tokyo Electric Power Company, Nissan, Mitsubishi, Fuji that follows the Japanese CHAdeMO protocol [12]. The CHAdeMO standard supporting car manufacturer have separate vehicles inlet for AC and DC charging. Compared to CSS, CHAdeMO only supports DC charging. Unlike CCS, CHAdeMO implements Controller Area Network (CAN) communication protocol to communicate between EV and EVSE controller.

4.4 Electric Vehicle Supply Equipment (EVSE)

The EV charging station, also known as EVSE, as a part of EV infrastructure contains electrical conductors and charging equipment external to the electric vehicle. The charging equipment allows the connection between EV and charging station's power source to provide EV charging [13].

4.5 Charging Communication between EV and EVSE

The charging communication between EV and EVSE is based on OSI layered architecture. The digital communication between these two entities is specified on DIN SPEC 70121:2014-12 [11] which is based on ISO /IEC15118[10]. ISO15118 enables DC charging control as well as conductive charging control. The standard delineates the communication between generic equipment of EV called Electric Vehicle Communication Controller (EVCC) and generic equipment of EVSE called Supply Equipment Communication Controller (SECC). Moreover, it provides a general summary and common understanding of aspects influencing the charge process, payment and load levelling [10] [11].

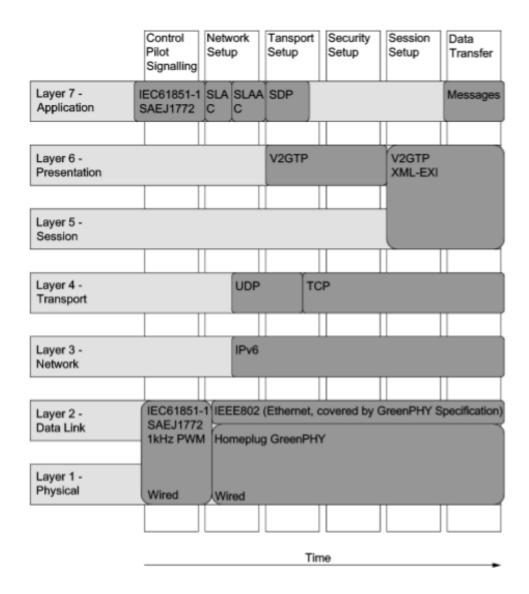


Figure 4: Protocol stack for DC charging control [4, p. 17]

The figure above illustrates the OSI layered architecture implemented for digital communication between EV and EVSE as specified in DIN SPEC 70121 [11]. As can be seen in figure 4, the communication process is divided into seven layers. As physical layer in OSI model defines connectors and interface specifications, the EV is connected to EVSE using charging cable in layer 1. The OSI model allows charging station to access the network to send and receive messages using Ethernet that is covered by Home plug GreenPHY specification. In the physical and data-link layer as can be seen in Figure 4, Power Line Communication is used. Ipv6 protocol is used on top of the PLC. Moreover, UDP and TCP protocols are implemented for the transport layer. ISO/IEC 15118[10] define V2G2P protocol for the session management between EVCC and SECC. The messages are delivered from transport layer to session layer in the form of V2GTP packets.

The V2GTP packets is comprised of two packets: SDP and application message. The EVCC in EV broadcasts SDP packet and wait for the reply from SECC in EVSE. The reply received from EVCC contains IP address and communication port of the SECC. As XML brings flexibility and portability, the application message is delineated in XML. However, the actual message in transit is encoded by the EXI algorithm [14]. To accomplish confidentiality and integrity of the application message, TLS must be implemented to authenticate EVSE. Overall, the figure presents the protocol requirements right from physical layer to the application layer.

4.6 Internet of Things (IoT)

IoT is beginning to transform the business, industry, society; and thus, impacting the life we are living by offering convenience, efficiency and safety to a new level. The analyst firm Gartner [15] has estimated that by 2020 IoT adoption will rise estimating 25 billion connected devices and their 44 zettabytes of generated data [16].

IERC [17, p.28] defines Internet of Things as:

"A dynamic global network infrastructure with self-configuring capabilities based on standard and interoperable communication protocols where physical and virtual 'things' have identities, physical attributes and virtual personalities and use intelligent interfaces, and are seamlessly integrated into the information network".

IoT is often defined as a network of physical objects that can interact with other Internet enabled systems and devices to share information and perform actions based on manual user input or an automated controlling system [18, p.1]. The IoT enabled infrastructure, to achieve increased efficiency, must be able to support interconnected system, data, and devices between the physical and online world. [18, p.1-2.]

IoT driven EV charging station offer smart charging solution to electric vehicle users by connecting charging station to the cloud and accelerating communication between EV users and charging station. For instance, IoT enabled DC charging station enable real time communication between EV and EVSE through mobile application from which user can make decisions based on real time.

5 Risk Assessment

This section provides considerations and guidance for designing and developing secure IoT enabled charging infrastructure. The section starts with preparing High level reference architecture for EV charging infrastructure. Following the architecture model, the assets taxonomy and threat taxonomy are depicted respectively.

5.1 High Level Reference Architecture Model

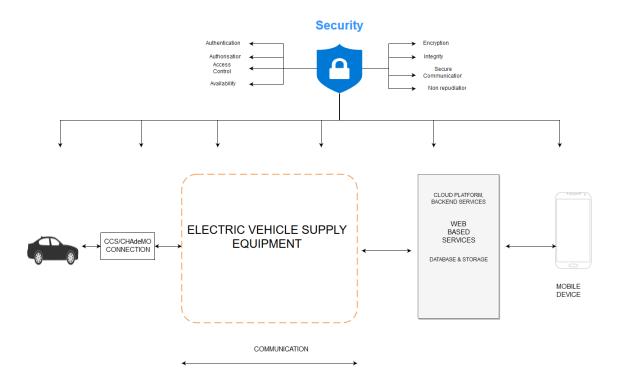


Figure 5: High-Level Reference Model for EV Charging System

Figure 5. illustrates the high-level reference model for EV charging. The reference model is composed of devices such as electric cars, electric vehicle supply equipment, cloud platform providing services such as backend service, web-based services, mobile payment, database and storage, mobile devices as well as communication between these different components of the EV charging system. It shows the security controls that need

to be implemented to all the elements of the model to develop secure IoT based EV charging infrastructure.

The reference model is useful to identify assets, threats and risks associated with the EV charging infrastructure. Furthermore, the reference model contemplates security and privacy measures across all areas, including device and user identity, authentication and authorization and data protection for data in rest and data in motion.

Moreover, asset taxonomy and threat taxonomy presented in ENISA study, is adhered according to the requirement of the project to devise foundation security guidelines for the whole EV charging ecosystem.

5.2 Asset Taxonomy

The first step to tackle cyber security is to identify the assets associated with the IoT ecosystem. This section provides an overview of the key asset groups and assets to be protected in the EV charging infrastructure.

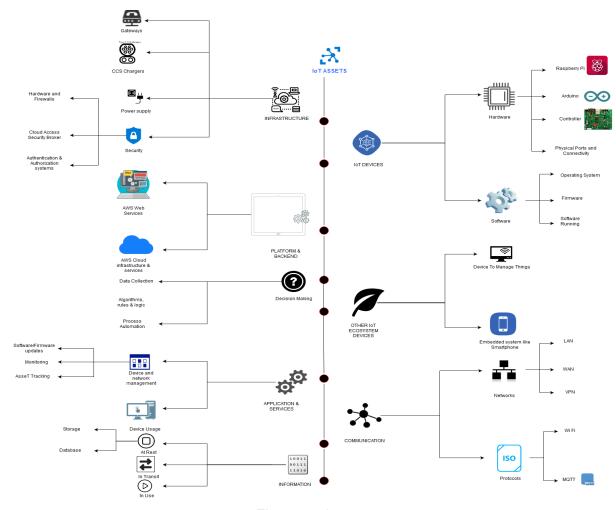


Figure 6: Asset taxonomy

The asset taxonomy depicted in Figure 6 categorize the assets associated with EV charging infrastructure in different key asset groups. The IoT device asset group consists of hardware devices, from which the IoT devices are built, and software that runs on these hardware devices. The EV charging infrastructure include hardware such controllers and software such as operating systems, firmware, programs and applications running on IoT hardware. The other IoT Ecosystem device include device to manage IoT devices mentioned in IoT device asset group. The communication asset group include communication protocols, either wireless; Wi-Fi, MQTT, Narrowband IoT, ZigBee, CoAP

or wireline based; Ethernet, I2C, SPI, used to communicate between IoT devices as well as between IoT devices and the cloud platform.

The infrastructure asset group consists of power supply that supplies power to IoT devices. In addition to power supply it includes security assets which provide security to IoT devices, networks and information. These apparently include firewalls, Cloud Access Security Broker (CASB) s for protecting cloud and authentication/ authorization system. [1, p. 27]

The platform and backend asset group include web based services for accessing webbased applications and cloud infrastructure that offer services such as backend, database and storage. The decision-making asset group is comprised of algorithms and services to process collected data from EVSE controller into a defined structure for further analysis in cloud to assist in making decision while charging electric car.

The Application and services asset group include data analytic and visualization that assist in identifying new patterns and improve efficiency of the infrastructure. It includes device and network management service for software updates, monitoring and asset tracking and device usage service.

The Information asset group is composed of data: at rest, which is information stored in a database in the cloud backend, or in the EVSE components; in transit, which is information sent or exchanged through the network between IoT elements; and in use, which is information used by mobile application, electric car or IoT element.

The research carried out by [1, p.29], shows that the most critical assets are sensors, device and network management controls, communication protocols, the gateways and application and services. It is important to understand challenges and threats when addressing security in EV charging infrastructure's assets.

5.3 Threat Taxonomy

Threat modelling is core of a secure development methodology. The emergence of IoT technologies and products is constantly changing landscape. It is hence important to ensure the reference to a set of threats and issues to address appropriately.

According to ENISA Threat Taxonomy [19, p.1], threat taxonomy, categorization of threat types and threats at various level of detail, establishes a point of reference for threats encountered, while providing a possibility to mix, order, repair and detail threat definition. [19, p.1] The figure depicts the threat taxonomy focused on the EV charging system.

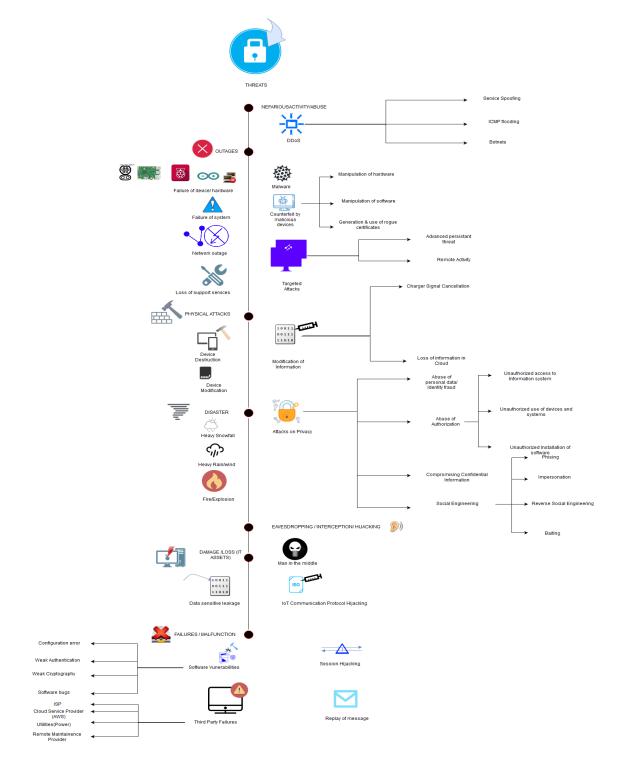


Figure 7: Threat Taxonomy

As can be seen in Figure 7, the threats associated with EV charging Infrastructure's assets are divided in to high level threats and the threats that are part of these high-level threats. The high-level threats include; nefarious/activity abuse, eavesdropping, outages, damage/loss, failures /malfunctions, disaster and physical attacks. The nefarious activity/ abuse category consists of threats such as DDoS attack, malware, exploit kits, targeted attacks, attacks on privacy, modification of information and counterfeit by malicious devices. Man-in-the middle attack, IoT communication protocol hijacking, interception of message; using rogue hardware and software, session hijacking; charging session, replay of messages are the threats that fall under high level threat called Eavesdropping/Interception/Hijacking. The outage threat considers all the threat related to network outage, devices failure, loss of cloud services, failure of system. The physical attack includes device modification; which is caused by bad configuration ports or open ports and device destruction. The disaster threat includes natural disaster such as flood, heavy snowfall or rainfall, and environmental disaster that could physically damage the devices. The loss of sensitive information belongs to high level damage threat. The software vulnerabilities such as configuration error, software bugs, weak authentication, weak cryptography belong to failures/malfunctions threat group. In addition to software vulnerabilities, failures include third party failures from ISP, cloud service provider, power utility provider and remote maintenance provider.

All these threats affect EV charging ecosystem devices such as controllers. In addition to that, it affects cloud platform, communication, backend, application and services provided by the cloud platform, and as well as, decision making capability of the whole system.

The research result by ENISA [1, p.33] presents IoT threat impacts in three level: crucial, high and medium. The result shows that Malware, Exploit kits, sensitive data leakage, weak passwords and DDoS attacks have crucial impact on the IoT based system. The research reports that eavesdropping and attacks on privacy have high impact on the system and other threats such as network outage, advanced persistent threat and counterfeit by malicious devices are considered to have medium impact on the system. To secure design and develop smart DC charging system which use IoT technology to implement the infrastructure, it is significant to create detail list of security measures that aim to mitigate threats, vulnerabilities and risks identified in the EV charging system.

6 Proposed Solution

Following asset taxonomy and threat taxonomy performed on IoT enabled EV charging infrastructure, the thesis project had considered following requirement for designing secure EV charging system. The security policies (Appendix 1) and technical measures (Appendix 2), based on ENISA [1, p.82-87] baseline security guidelines, list policies and technical measures mapping to the threats existing in the EV charging system. These policies and technical measures, aim to mitigate the threats, vulnerabilities and risks identified in the IoT driven EV charging system, are going to be analyzed and implemented during the building phase of the project.

The thesis project had considered the following products defined by internationally recognized standardizing bodies.

Table 2: Standard for DC Charging Station and EV Connecters and the Communication standard between EV and charging standard

Product / Communication	Standard	Description
DC electric vehicle charging station	IEC 61851-23	Electric Vehicle conduction system - DC EV charging Station
Plugs, socket- outlet, vehicle connectors and vehicle inlets for DC charging	IEC 62196-3	Dimensional compatibilty and interchangeability requirement for DC and AC pin and tube-type vehicle connectors
Communication between plug-in Vehicles and off- Board DC chargers	SAE J2847/2	Requirement and specification for Communication Between plug-in Vehicles and Off-Board DC chargers
Communication between EV and EVSE	ISO 15118	Road Vehicles- Vehicle to grid Communication Interface
DC Charging specific communication	DIN 70121:2014:2	Digital Communication between DC EV charging station and an EV for control DC charging in the Combined Charging System

Table 1 list all the required standard to connect EV with the charging station. Furthermore, it describes what the standard covers. The table includes the standard for accomplishing communication between EV and DC charging station.

In addition to the standard for equipment's, the project, had considered following networking protocols that need to be analyzed during building phase of the system.

Table 2: - Network Protocols considered for IoT driven DC Charging Station

SESSION		MQTT, AMQP, C0AP, DDS, XMPP
* Network	Encapsulation	6LowPAN, Thread, 6Tisch
*	Routing	RPL, CARP
Datalink		Bluetooth / BLE, Wi-Fi / Wi-Fi Hallow, LoRaWAN, Neul, SigFox, Z-wave, Zigbee, USB, 3G/LT, HomePlug PHY

Table 2 illustrates the communication protocol used on different multi-layer stack of the OSI architecture. The datalink layer connects two IoT components, for instance EVSE's gateway to IoT platform or EV to EVSE controller. Furthermore, it presents protocols such as RPL, 6LowPAN for routing and session layer protocols to enable messaging among various elements of the EV charging IoT communication subsystem.

The thesis project during designing phase has come up with prototype method for IoT charging station implementation in which the security measures remain to be applied.

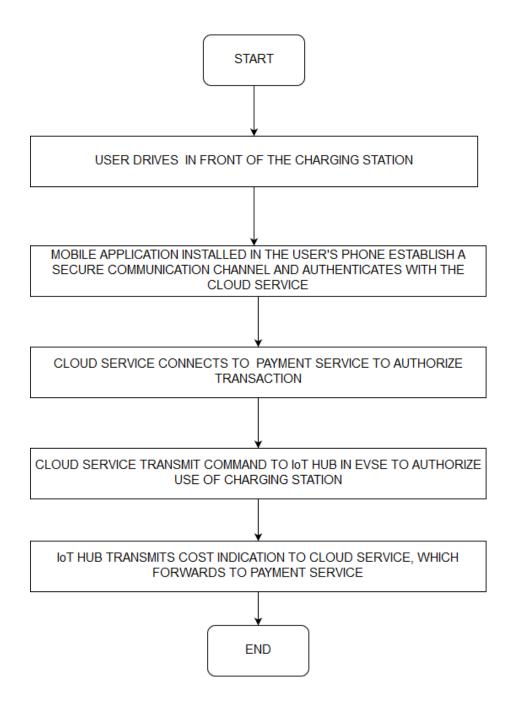
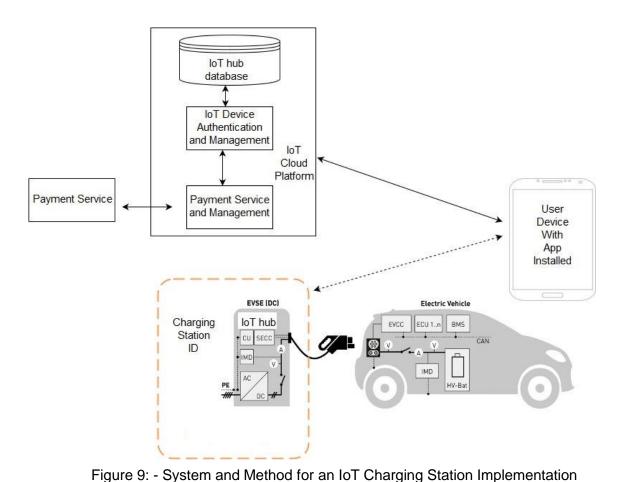


Figure 8: - Flowchart showing method for IoT driven EV charging Station.

The purpose system comprises of an Internet of Things hub, to be communicatively coupled to a charging station. The EVSE comprised of microcontroller which act as IoT hub establish a communication channel with the IoT service. The user device directly communicates to the IoT cloud service.



The IoT hub connect to the cloud service through he internet. As can be seen in, Figures 8 and 9, an EV user drives in to the charging station. From the mobile application installed in the EV user device, a secure communication channel with the IoT cloud

installed in the EV user device, a secure communication channel with the IoT cloud service is established and authenticated with the cloud service. In this representation, the user's location may be determined using GPS or other location technology. Moreover, the user may be requested the charging station ID to enter via the user device application that helps in identifying the charging station. Once the user is identified, a payment service on the cloud service initiates a payment transaction with an external payment service such as PayPal, a credit card company, or the user's bank account. Once the payment transaction is authorized by the payment service, the cloud service transmits a command to the IoT hub in EVSE to authorize the connected EV of the user and allow the use of the charging station. After the EV is authorized, the charging starts and

bidirectional communication between EV and EVSE is achieved according to the procedures defined in standard DIN SPEC 70121[10] and ISO 15118[9]. The IoT hub at the charging station will transmit the cost of the electrical power to the IoT cloud service which will forward a message to the payment service to complete the transaction and pay for the electricity. If the payment service is a bank account, then the amount will be deducted from the user's account. The communication between the charging station and the IoT service occur via the user's mobile phone.

7 Conclusion

Today's rapid development in the field of IoT driven infrastructures have created opportunities for manufactures to claim their stake in the market by building the products for these infrastructures. However, with IoT still evolving, lack of well-defined IoT platform, vulnerabilities with IoT devices, communication and network protocols, lack of privacy and interoperability, manufacturers or companies developing IoT solutions must pay serious attention on designing secure IoT infrastructure to cope with above mentioned issues before launching their products.

Moreover, the IoT enabled product developed without affording time and effort on security, are open to exploitation or security breach by the attacker, and finally, require extensive effort and cost to amend it. It is, therefore, essential to prepare high level model architecture and perform asset analysis and threat analysis associated with the infrastructure during the design phase. The project presented the action that need to be taken to develop secure relevant infrastructure. The project need for detailing all the entities such as EV, EVSE, or cloud platform, communication, and deciding security controls on these elements were crucial before building and developing the infrastructure. Furthermore, the thesis presented the high model architecture of EV charging Infrastructure which delineated security controls: authentication, authorization, access control, availability, encryption, integrity, secure communication and non-repudiation, applied to every entity of IoT driven charging infrastructure. The project detailed all the entities and security controls; to ease IoT driven EV charging infrastructure development. Based on asset identification, threats associated with the charging station were mapped according to their impacts on the assets of the infrastructure. Following threat

analysis, depending upon the criticality of the threats on IoT driven EV charging infrastructure security measures presented in ENISA were considered for implementation during building phase. Without this research it would not have been possible to detail all the security baseline recommendation for the design and development of the related infrastructure.

The baseline security recommendation presented by ENISA were studied and applied accordingly to achieve secure IoT enabled charging infrastructure. The standard network protocols defined for IoT are to be analyzed and implemented during building phase and decided according to the agility of the project. To summarize, based on the criticality of the threats, security measures comprised of: policies; that must be considered when developing devices, and finally, technical measures; that assist in reducing the potential risks that IoT enabled charging infrastructure are subject to, are to be applied before deploying the charging station.

In addition to, the thesis project presented the importance of DC charging station over AC charging station to encourage people to adopt electric vehicle over traditional gasoline cars and boost IoT based EV charging infrastructure economy. However, time consumed to charge an EV; which could take 8 to 12 hours depending upon the AC chargers type, requirement to have on board charger in the car to support AC charging are the issues that need to overcome before people choose EV over traditional vehicle. The thesis project has considered to implement CCS chargers to offer DC charging for electric vehicle as it overcomes the issue of AC charging and provide quick and smart solution to EV users.

The thesis project can be used to carry out to build pilot prototype of the IoT enabled DC charging station before building the main product or solution. The project list all the equipment's and communication procedures, defined by International Standard Bodies, to be implemented to achieve DC charging service for electric vehicles.

The case company should apply the security measures on the purposed method for an IoT charging station implementation. The security measures incorporate policies and technical measures to protect all the process in the IoT implementation starting from EVSE's device, network protocols; for connecting EVSE to cloud service, authentication of EV user to the IoT cloud platform, authorization of charging station from IoT to allow connected EV to start charging procedures. The project during implementation

phase could analyze PKI infrastructure solution to secure smart EV charging infrastructure by using digital certificate to authenticate a EVSE, system or network, encrypt all communications, and uphold data integrity. Furthermore, PKI can be incorporated during product design, build, deployment, or on ongoing maintenance.

Whether dealing with smart charging system or connected manufacturing facilities, sensors and robotics are now beginning to work hand in hand towards the accomplishment of objectives. New research in blockchain technology shows promise in extending these capabilities in EV charging infrastructure. These capabilities are driving the human out of the decision-making loop in many instances and as we rely on IoT products to do the basic thinking for us, we will need to make sure that those products and their associated services and interconnection points are each developed as securely as possible.

References

- 1 European Union Agency for Network and Information Security. Baseline Security Recommendations for Internet of Things in the context of critical information infrastructures. Athens, Greece: ENISA. 20 November 2017. URL: https://www.enisa.europa.eu/publications/baseline-security-recommendations-for-iot [Accessed 25th January 2018]
- 2 Kaspersky Lab. Vulnerabilities of electric car charging. Weblog. URL: https://www.kaspersky.com/blog/electric-cars-charging-problems/20652/ [Accessed 2^{7th} March 2018]
- 3 Cloud Security Alliances. Future-proofing the Connected World: 13 steps to Developing Secure IoT Products. Cloud Security Alliance;2016. URL: https://downloads.cloudsecurityalliance.org/assets/research/internet-of-things/future-proofing-the-connected-world.pdf [Accessed on March 3, 2018].
- 4 Open Web Application Security Project (OWASP). IoT Testing Guide. OWASP. OWASP.

URL: https://www.owasp.org/images/2/2d/lot_testing_methodology.JPG [Accessed 2nd March 2018]

- International Electrotechnical Commission. IEC 61851-1:2017. Electrical vehicle conductive charging system -Part 1: General requirements. 3rd Edition. Switzerland;2017
- Tsakmakis E. System Component Modelling of Electric Vehicles and Charging Infrastructure. UPC;2001. URL: https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upcom-mons.upc.edu/bitstream/handle/2099.1/19829/MSc%20Thesis%20Eman-uel%20Tsakmakis%20-%20System%20Component%20Model-ling%20of%20Electric%20Vehicles%20an_20130710091124221.pdf?sequence=1">https://upccmakeule.ndmakeule.
- 7 Steffen Schneider. Combined Charging System 10.0 Specification CCS 1.0. 2015 URL: http://tesla.o.auroraobjects.eu/Combined Charging System 1_0_Specification_V1_2_1.pdf
- 8 International Electrotechnical Commission. IEC 61851-23. Electric vehicle conduction system Part 23 D.C. electric vehicle charging station. International Electrotechnical Commission. Geneva, Switzerland; 2014
- 9 International Electrotechnical Commission. IEC FDIS 62196-3:2014. Plugs, socket-outlets, vehicle connectors and vehicle inlets Conductive charging of electric vehicles Part 3-Dimensional compatibility and interchangeability requirem. for d.c. and a.c./d.c. pin and tube-type contact vehicle connectors. Geneva, Switzerland; 2014

- 10 International Organization for Standardization. ISO/IEC 15118-1:2013-04. Road vehicles Vehicle to grid communication interface Part 1 General information and use-case definition- ISO Technical Committee. Geneva, Switzerland. 2013
- 11 German Institute for Standardization. Electromobility Digital communication between a d.c. EV charging station and an electric vehicle for control of d.c. charging in the Combined Charging System. German Institute for Standardization. Berlin, Germany;2014
- 12 CHAdeMO Association. Technical Specifications of Quick Charger for the Electric Vehicle: CHAdeMO 1.01. CHAdeMO Association. Tokyo, Japan; 2013.
- 13 NEMA. Electric Vehicle Supply Equipment/system. Weblog. 2017. URL: https://www.nema.org/Products/Pages/Electric-Vehicle-Supply-Equipment-System.aspx [Accessed February 22, 2018].
- 14 Shin M., Kim H., Kim HY., Jang HY. Building an Interoperability Test System for Electric Vehicle Chargers Based on ISO/IEC 15118 and IEC 61850 Standards. Korea: Myongji University; 2016. Available from: doi:10.3390/app6060165 [Accessed 5th March 2018].
- 15 Gartner. In 2020, 25 Billion Connected 'Things' will Be in Use". 2014. URL: http://www.gartner.com/newsroom/id/2905717 [Accessed February 22, 2018].
- 16 IDC. The Digital Universe of Opportunities: rich Data and the Increasing Value of the Internet of Things. 2014.
 URL: http://www.emc.com/leadership/digital-universe/2014iview/executive-sum-mary.htm [Accessed February 22, 2018].
- 17 IEE. Towards a definition of the Internet of Things (IoT). 27 May 2017. [online]. URL: https://iot.ieee.org/images/files/pdf/IEEE IoT Towards Definition Internet of Things Revision1 27MAY15.pdf [Accessed February 27, 2018]
- 18 Digicert. PKI: The security solutions for Internet of Things. [online] URL: https://www.digicert.com/wp-content/uploads/2017/05/Whitepa-per_PKISolutionforIoT_4-12-17.pdf [Accessed on 28 March 2018]
- 19 Marinos L., ENISA. ENISA Threat Taxonomy: A tool for structuring threat information. ENISA: Athens, Greece. 2016 [Online] URL: https://www.enisa.europa.eu/topics/threat-risk-management/threats-and-trends/enisa-threat-landscape/etl2015/enisa-threat-taxonomy-a-tool-for-structuring-threat-information [Accessed on 5th February 2018]

Figure References

- 1. European Alternative Fuels Observatory. Electric vehicle charging infrastructure in Finland.
 - URL: http://www.eafo.eu/electric-vehicle-charging-infrastructure [Accessed on 22 February 2018]
- AC and DC charging in Electric Vehicle. Knowing the EV Charging Ecosystem, Fast Charging Infrastructure URL: http://www04.abb.com/global/seitp/seitp202.nsf/0/31492e6d40477c64c1257bd5 00125cc4/\$file/The+EV+Charging+Ecosystem.pdf
- Steffen Schneider.2015. Combined Charging System 10.0 Specification CCS 1.0.
 URL: http://tesla.o.auroraobjects.eu/Combined_Charging_System_1_0_Specification V1 2 1.pdf [Accessed on 2nd April 2018]
- German Institute for Standardization. Electromobility Digital communication be-tween a d.c. EV charging station and an electric vehicle for control of d.c. charging in the Combined Charging System. German Institute for Standardization. Berlin, Germany. 2014

Security Measures (Policies) and Threats Mapping

Design Security Control	Security Measures / Good Practices/ Policies	Threat Groups
	GP-PS-01: Consider the security of the whole IoT sys-	Nefarious Activity
	tem in a consistent and holistic approach during its	/Abuse
Security by De-	whole lifecycle across all levels of device/application	
sign	design and development, integrating security through-	
	out the development, manufacture, and deployment.	
	GP-PS-02: Ensure the ability to integrate different se-	Nefarious Activity
	curity policies and techniques.	/Abuse
	GP-PS-03: Security must consider the risk posed to	Nefarious Activity
	human safety	/Abuse
	GP-PS-04: Designing for power conservation should	Nefarious Activity
	not compromise security	/Abuse
	GP-PS-05: Design architecture by compartments to	Nefarious Activity
	encapsulate elements in case of attacks.	/Abuse
	GP-PS-06: For IoT hardware manufacturers and IoT	Nefarious Activity
	software developers it is necessary to implement test	/Abuse
	plans to verify whether the product performs as it is	
	expected. Penetration tests help to identify malformed	
	input handling, authentication bypass attempts and	
	overall security posture.	
	GP-PS-07: For IoT software developers it is important	Nefarious Activity
	to conduct code review during implementation as it	/Abuse
	helps to reduce bugs in a final version of a product.	

	GP-PS-08: Make Privacy an integral part of the sys-	Nefarious Activity /
	tem	Abuse
Privacy by De-		Damage loss (IT as-
sign		sets).
	GP-PS-08: Perform privacy impact assessments be-	Nefarious Activity /
	fore any new application are launched	Abuse,
		Damage loss (IT as-
		sets).
	GP-PS-10: Establish and maintain asset management	Nefarious Activity /
	procedures and configuration controls for key network	Abuse, Damage loss
Asset Manage-	and information systems.	(IT assets), Eaves-
ment		dropping / Intercep-
		tion / Hijacking.
Risk and Threats	GP-PS-11: Identify significant risks using a defense-	Nefarious Activity /
Identification and	in-depth approach	Abuse, Outages.
Assessment		
	GP-PS-12: Identify the intended use and environment	Nefarious Activity /
	of a given IoT device	Abuse, Failures /
		malfunctions, Eaves-
		dropping / Intercep-
		tion / Hijacking.

Security Measures (Technical Measures) and Threats Mapping

Design Security Control	Security Measures / Good Practices/ Technical Measures	Threat Groups
	GP-TM-01: Employ a hardware-based immu-	Physical Attacks,
Hardware security	table root of trust.	Disasters, Outages
	GP-TM-02: Use hardware that incorporates	Physical Attacks,
	security features to strengthen the protection	Disasters, Outages.
	and integrity of the device - specialized secu-	
	rity chips / coprocessors that integrate secu-	
	rity at the transistor level, embedded in the	
	processor, providing, among other things, a	
	trusted storage of device identity and authen-	
	tication means, protection of keys at rest and	
	in use, and preventing unprivileged from ac-	
	cessing to security sensitive code. Protection	
	against local and physical attacks can be	
	covered via functional security.	
	GP-TM-03: The boot process initializes the	Failures / Malfunc-
Trust and Integrity	main hardware components, and starts the	tion, Nefarious Activ-
Management	operating system. Trust must be established	ity /Abuse, Outages.
	in the boot environment before any trust in	
	any other software or executable program	
	can be claimed.	

	CD TM 04. Sign and a symtographically to	Noforious Astinitus
	GP-TM-04: Sign code cryptographically to	Nefarious Activity
	ensure it has not been tampered after being	/Abuse, Eavesdrop-
	signed as safe for the device, and implement	ping / Interception /
	run-time protection and secure execution	Hijacking.
	monitoring to be sure malicious attacks do	
	not overwrite code after it is loaded.	
	GP-TM-05: Control the installation of soft-	Outages, Nefarious
	ware on operational systems, to prevent un-	Activity /Abuse,
	authenticated software and files being	Eavesdropping / In-
	loaded onto it.	terception / Hijack-
		ing.
	GP-TM-06: Restore Secure State - Enable a	Outages, Nefarious
	system to return to a state that is known to	Activity /Abuse,
	be secure, after a security breach occurs or if	Eavesdropping / In-
	an upgrade is not successful.	terception / Hijacking
	GP-TM-07: Use protocols and mechanisms	Nefarious Activity
	able to represent and manage trust and trust	/Abuse, Eavesdrop-
	relationships.	ping / Interception /
		Hijacking
	GP-TM-08: Enable security by default. Any	Outages, Nefarious
Strong default secu-	applicable security features should be ena-	Activity /Abuse, Fail-
rity and privacy	bled by default, and any unused or insecure	ures / Malfunctions.
	functionalities should be disabled by default.	
	GP-TM-09: Establish hard to crack device in-	Outages, Nefarious
	dividual default passwords.	Activity /Abuse, Fail-
		ures / Malfunctions.

	GP-TM-10: Personal data must be collected	Nefarious Activity /
Data protection and	and processed fairly and lawfully. The fair-	Abuse, Damage loss
compliance	ness principle specifically requires that per-	(IT assets).
	sonal data should never be collected and	
	processed without the user's consent.	
	GP-TM-11: Make sure that personal data is	Nefarious Activity /
	used for the specified purposes for which	Abuse, Damage loss
	they were collected, and that any further pro-	(IT assets).
	cessing of personal data is compatible and	
	that the data subjects are well informed.	
	GP-TM-12: Minimize the data collected and	Damage loss (IT as-
	retained.	sets).
	GP-TM-13: IoT stakeholders must be compli-	Nefarious Activity /
	ant with the EU General Data Protection	Abuse, Damage loss
	Regulation (GDPR).	(IT assets).
	GP-TM-14: Users must be able to exercise	Nefarious Activity /
	their rights to information, access, erasure,	Abuse, Damage loss
	rectification, data portability, restriction of	(IT assets).
	processing, objection to processing, and	
	their right not to be evaluated on the basis of	
	automated processing.	
	GP-TM-15: Design with system and opera-	Failures / Malfunc-
System safety and	tional disruption in mind, preventing the sys-	tion, Disasters, Out-
reliability	tem from causing unacceptable risk of injury	ages.
	or physical damage.	
	GP-TM-16: Mechanisms for self-diagnosis	Failures / Malfunc-
	and self-repair/healing to recover from fail-	tion,
	ure, malfunction or a compromised state.	Outages.

	GP-TM-17: Ensure standalone operation -	Failures / Malfunc-
	essential features should continue to work	tion,
	with a loss of communications and chronicle	Outages.
	negative impacts from compromised devices	
	or cloud-based systems.	
	GP-TM-18: Ensure that the device soft-	Outages, Failures /
	ware/firmware, its configuration and its appli-	Malfunctions, Nefari-
	cations have the ability to update Over-The-	ous Activity / Abuse,
Secure Software /	Air (OTA), that the update server is secure,	Eavesdropping / In-
Firmware updates	that the update file is transmitted via a se-	terception / Hijack-
	cure connection, that it does not contain sen-	ing.
	sitive data (e.g. hardcoded credentials), and	
	that it is signed by an authorized trust entity	
	and encrypted using accepted encryption	
	methods, and that the update package has	
	its digital signature, signing certificate and	
	signing certificate chain, verified by the de-	
	vice before the update process begins.	
	GP-TM-19: Offer an automatic firmware up-	Failures / Malfunc-
	date mechanism	tion, Outages
	GP-TM-20: Backward compatibility of firm-	Failures / Malfunc-
	ware updates. Automatic firmware updates	tion,
	should not modify user-configured prefer-	Outages.
	ences, security, and/or privacy settings with-	
	out user notification.	

		l .
	tion and authorization schemes (unique per	Malfunction
Authentication	device) based on the system-level threat	Nefarious Activity
	models.	/Abuse
		Eavesdropping / In-
		terception / Hijacking
	GP-TM-22: Ensure default passwords and	Failures / Malfunc-
	even default usernames are changed during	tion, Nefarious Activ-
	the initial setup, and that weak, null or blank	ity /Abuse, Eaves-
	passwords are not allowed.	dropping / Intercep-
		tion / Hijacking.
	GP-TM-23: Authentication mechanisms must	Failures / Malfunc-
	use strong passwords or personal identifica-	tion, Nefarious Activ-
	tion numbers (PINs), and should consider	ity /Abuse, Eaves-
	using two-factor authentication (2FA) or	dropping / Intercep-
	multi-factor authentication (MFA) like	tion / Hijacking.
	Smartphones, Biometrics, etc., and certifi-	
	cates	
	GP-TM-24: Authentication credentials includ-	Failures / Malfunc-
	ing but not limited to user passwords shall be	tion, Nefarious Activ-
	salted, hashed and/or encrypted.	ity /Abuse, Eaves-
		dropping / Intercep-
		tion / Hijacking.
	GP-TM-25: Protect against 'brute force'	Failures / Malfunc-
	and/or other abusive login attempts. This	tion, Nefarious Activ-
	protection should also consider keys stored	ity /Abuse, Eaves-
	in devices.	dropping / Intercep-
		tion / Hijacking.

	GP-TM-26: Ensure password recovery or re-	Failures / Malfunc-
	set mechanism is robust and does not supply	tion, Nefarious Activ-
	an attacker with information indicating a valid	ity /Abuse, Eaves-
	account. The same applies to key update	dropping / Intercep-
	and recovery mechanisms	tion / Hijacking.
	GP-TM-27: Limit the permissions of actions	Failures / Malfunc-
	allowed for a given system by Implementing	tion, Nefarious Activ-
Authoriza-	fine-grained authorization mechanisms and	ity /Abuse, Eaves-
tion	using the Principle of least privilege (POLP):	dropping / Intercep-
	applications must operate at the lowest privi-	tion / Hijacking.
	lege level possible.	
	GP-TM-28: Device firmware should be de-	Failures / Malfunc-
	signed to isolate privileged code and data	tion, Nefarious Activ-
	from portions of the firmware that do not	ity /Abuse, Eaves-
	need access to them, and device hardware	dropping / Intercep-
	should provide isolation concepts to prevent	tion / Hijacking.
	unprivileged from accessing security sensi-	
	tive code.	
	GP-TM-29: Data integrity and confidentiality	Physical Attacks,
Access Control -	must be enforced by access controls. When	Failures / Malfunc-
Physical and Envi-	the subject requesting access has been au-	tions, Nefarious Ac-
ronmental security	thorized to access particular process, it is	tivity / Abuse, Eaves-
	necessary to enforce the defined security	dropping / Intercep-
	policy.	tion / Hijacking.
Physical and Envi-	must be enforced by access controls. When the subject requesting access has been authorized to access particular process, it is necessary to enforce the defined security	Failures / Malfunc- tions, Nefarious Ac- tivity / Abuse, Eaves- dropping / Intercep-

	GP-TM-30: Ensure a context-based security	Damage / Loss (IT
	and privacy that reflects different levels of	Assets), Failures /
	importance.	Malfunctions, Nefari-
		ous Activity / Abuse,
		Eavesdropping / In-
		terception / Hijack-
		ing.
	GP-TM-31: Measures for tamper protection	Physical Attacks, Ne-
	and detection. Detection and reaction to	farious Activity /
	hardware tampering should not rely on net-	Abuse
	work connectivity.	
	GP-TM-32: Ensure that the device cannot be	Physical Attacks, Ne-
	easily disassembled and that the data stor-	farious Activity /
	,	Abuse
	age medium is encrypted at rest and cannot	Abuse
	be easily removed.	
	GP-TM-33: Ensure that devices only feature	Physical Attacks,
	the essential physical external ports (such as	Failures / Malfunc-
	USB) necessary for them to function and that	tions, Eavesdropping
	the test/debug modes are secure, so they	/ Interception / Hi-
	cannot be used to maliciously access the de-	jacking
	vices. In general, lock down physical ports to	
	only trusted connections.	
	GP-TM-34: Ensure a proper and effective	Nefarious Activity
	use of cryptography to protect the confidenti-	/Abuse, Eavesdrop-
	ality, authenticity and/or integrity of data and	ping / Interception /
	information (including control messages), in	Hijacking
Cryptography	transit and in rest. Ensure the proper selec-	

	tion of standard and strong encryption algo-	
	rithms and strong keys, and disable insecure	
	protocols. Verify the robustness of the imple-	
	mentation.	
	GP-TM-35: Cryptographic keys must be se-	Nefarious Activity
	curely managed.	/Abuse, Eavesdrop-
		ping / Interception /
		Hijacking
	GP-TM-36: Build devices to be compatible	Nefarious Activity
	with lightweight encryption and security tech-	/Abuse, Eavesdrop-
	niques.	ping / Interception /
		Hijacking
	GP-TM-37: Support scalable key manage-	Nefarious Activity
	ment schemes	/Abuse, Failures /
		Malfunctions, Eaves-
		dropping / Intercep-
		tion / Hijacking
	GP-TM-38: Guarantee the different security	Nefarious Activity
Secure and trusted	aspects -confidentiality (privacy), integrity,	/Abuse, Failures /
communications	availability and authenticity- of the infor-	Malfunctions, Eaves-
	mation in transit on the networks or stored in	dropping / Intercep-
	the IoT application or in the Cloud.	tion / Hijacking
	GP-TM-39: Ensure that communication se-	Eavesdropping / In-
	curity is provided using state-of-the-art,	terception / Hijack-
	standardized security protocols, such as TLS	ing, Damage loss (IT
	for encryption.	assets).
-	1	

GP-TM-40: Ensure credentials are not ex-	Eavesdropping / In-
posed in internal or external network traffic.	terception / Hijack-
	ing, Damage loss (IT
	assets).
GP-TM-41: Guarantee data authenticity to	Nefarious Activity
enable reliable exchanges from data emis-	/Abuse, Eavesdrop-
sion to data reception. Data should always	ping / Interception /
be signed whenever and wherever it is cap-	Hijacking
tured and stored.	
GP-TM-42: Do not trust data received and al-	Nefarious Activity
ways verify any interconnections. Discover,	/Abuse, Failures /
identify and verify/authenticate the devices	Malfunctions, Eaves-
connected to the network before trust can be	dropping / Intercep-
established, and preserve their integrity for	tion / Hijacking
reliable solutions and services.	
GP-TM-43: IoT devices should be restrictive	Nefarious Activity
rather than permissive in communicating.	/Abuse, Eavesdrop-
	ping / Interception /
	Hijacking
GP-TM-44: Make intentional connections.	Nefarious Activity
Prevent unauthorized connections to it or	/Abuse, Eavesdrop-
other devices the product is connected to, at	ping / Interception /
all levels of the protocols.	Hijacking
GP-TM-45: Disable specific ports and/or net-	Nefarious Activity
work connections for selective connectivity.	/Abuse, Eavesdrop-
	ping / Interception /
	Hijacking

	GP-TM-46: Rate limiting – controlling the	Nefarious Activity
	traffic sent or received by a network to re-	/Abuse, Eavesdrop-
	duce the risk of automated attacks.	ping / Interception /
		Hijacking
	GP-TM-47: Risk Segmentation. Splitting net-	Eavesdropping / In-
Secure Interfaces	work elements into separate components to	terception / Hijacking
and network ser-	help isolate security breaches and minimize	l corospinous significant
vices	the overall risk.	
Vioco		Favor desprises / In
	GP-TM-48: Protocols should be designed to	Eavesdropping / In-
	ensure that, if a single device is compro-	terception / Hijacking
	mised, it does not affect the whole set.	
	GP-TM-49: Avoid provisioning the same se-	Eavesdropping / In-
	cret key in an entire product family, since	terception / Hijacking
	compromising a single device would be	
	enough to expose the rest of the product	
	family.	
	GP-TM-50: Ensure only necessary ports are	Failures / Malfunc-
	exposed and available.	tion, Eavesdropping /
		Interception / Hijack-
		ing
	GP-TM-51: Implement a DDoS-resistant and	Nefarious Activity
	Load-Balancing infrastructure.	/Abuse
	GP-TM-52: Ensure web interfaces fully en-	Nefarious Activity
	crypt the user session, from the device to the	/Abuse
	backend services, and that they are not sus-	
	ceptible to XSS, CSRF, SQL injection, etc.	

	GP-TM-53: Avoid security issues when de-	Nefarious Activity
	signing error messages.	/Abuse
	GP-TM-54: Data input validation (ensuring	Failures / Malfunc-
Secure input and	that data is safe prior to use) and output fil-	tion, Nefarious Activ-
output handling	tering.	ity /Abuse
	GP-TM-55: Implement a logging system that	Damage loss (IT as-
Logging	records events relating to user authentica-	sets).
	tion, management of accounts and access	
	rights, modifications to security rules, and the	
	functioning of the system.	
	GP-TM-56: Implement regular monitoring to	Damage loss (IT as-
Monitoring and Au-	verify the device behavior, to detect malware	sets).
diting	and to discover integrity errors.	
	GP-TM-57: Conduct periodic audits and re-	Nefarious Activity
	views of security controls to ensure that the	/Abuse, Damage
	controls are effective. Perform penetration	Loss (IT assets).
	tests at least biannually.	